



09-13-04

3731
Jhw

September 10, 2004

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Applicant(s): Hausen, Bernard A. et al.
Assignee: Cardica, Inc.
Title: Method and System for Performing Closed-Chest Bypass Graft Surgery
Serial No.: 09/993,438
Examiner: Paul A. Roberts
Docket No.: 059
Filed: November 13, 2001
Group Art Unit: 3731

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Information Disclosure Statement;
- (3) PTO form 1449; and
- (4) Return postcard.

☒ No additional fee is required.

☒ Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 502108

Total: \$ 0.00

EXPRESS MAIL LABEL NO.
EV430390362US

Respectfully submitted,

Brian A. Schar
Attorney for Applicant(s)
Reg. No. 45,076



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hausen, Bernard A.; et. al.
Assignee: Cardica, Inc.
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INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,

Brian A. Schar
Attorney for Applicant(s)
Reg. No. 45,076
Cardica, Inc.

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT

APPLICANT:

Hausen, Bernard A. et al.

FILING DATE:

11/13/2001

GROUP:

3731

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE |
|---------------------|----|-----------------|----------|--------|-------|--------------|----------------|
| | AA | 6,786,898 | 9/7/2004 | GUENST | | | |
| | AB | | | | | | |
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| | AX | | | | | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB CLASS | TRANSLATION YES NO |
|---------------------|--|-----------------|----------|---------|-------|--------------|-----------------------|
| | | 2004/064646A1 | 1/9/2004 | PCT | | | |
| | | 2004/064647A1 | 1/9/2004 | PCT | | | |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant